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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/896,682
Filing Date	Jun 29, 2001
First Named Inventor	Gao, Yang
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	01CON803P
Total Number of Pages in This Submission	4

## ENCLOSURES (check all that apply)

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jonathan Velasco, Esq. Reg. No. 42200 Farjami & Farjami LLP
Signature	
Date	August 23, 2002

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Patent  
01CON303P

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Gao, et al

Group Art Unit:

Application Serial No: 09/896,682

Examiner:

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Filed: June 29, 2001

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Title: **Coding Based on Spectral Content  
of a Speech Signal**

**INFORMATION DISCLOSURE STATEMENT**

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Sir:

In accordance with 37 C.F.R. 1.56 and 1.97, Applicants hereby disclose to the Patent Office patents, publications or other information of which Applicants are aware. A copy of the patents and other materials along with a Form 1449 are submitted herewith.

The items identified in this Information Disclosure Statement may or may not be "material" as defined in 37 C.F.R. 1.56 and the submission thereof by Applicants is not to be construed as an admission that any such patent, publication or other information referred to is material or considered to be material (37 C.F.R. 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. 102 with respect to the present invention unless specifically designated by Applicants as such. Identification of any reference or patent herein is not an admission, nor is it to be construed as an admission, that it was invented prior to the invention disclosed herein.

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The filing of this Information Disclosure Statement is not to be construed to mean that a search has been made or that no other material information, as defined in 37 C.F.R. 1.56, exists.

In accordance with 37 C.F.R. 1.97(b)(3) no fee is enclosed, since the Information Disclosure Statement is being filed before the mailing date of a first office action on the merits.

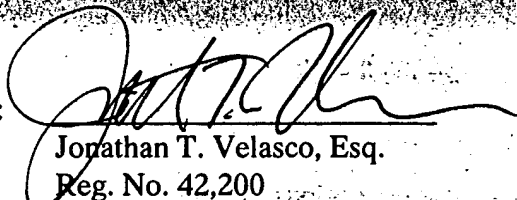
The Assistant Commissioner is hereby authorized to charge or credit Deposit Account Number 50:0731 for any deficiency or overpayment in the fees required for the filing of this Disclosure Statement, for which purpose a duplicate copy of this paper is also included.

Respectfully Submitted,  
Farjami & Farjami LLP

Dated:

8-23-02

By:



Jonathan T. Velasco, Esq.

Reg. No. 42,200

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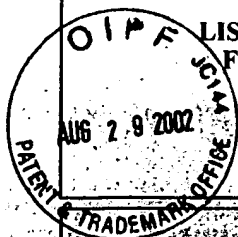
09/896,682

APPLICANTS:

Gao, et al.

FILING DATE:  
June 29, 2001GROUP ART:  
UnknownLIST OF PATENTS AND OTHER ITEMS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)



## U.S. PATENT DOCUMENTS

Exam. Initials	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
AA	5,341,456	8/94	Dejaco			
AB	5,915,235	6/99	Miller, et al.			

## FOREIGN PATENT DOCUMENT

Exam. Initials	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
BA							
BB							
BC							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

CA	MASSALOUX D ET AL: "Spectral Shaping in the Proposed ITU-T 8 kb/s Speech Coding Standard" PROCEEDINGS OF THE IEEE WORKSHOP ON SPEECH CODING FOR TELECOMMUNICATIONS, XX, XX, 1995, pages 9-10, XP002192645 paragraph '0002!
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